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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/776,002	02/10/2004	Scott A. Mitchell	CGI-0014

CONFIRMATION NO. 9098

FORMALITIES LETTER



OC000000012569252

23413
 CANTOR COLBURN, LLP
 55 GRIFFIN ROAD SOUTH
 BLOOMFIELD, CT 06002

Date Mailed: 05/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$622 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1072 for a Small Entity

08/12/2004 MAHME1 00000078 10776002

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2202	342.00 OP

- Total additional claim fee(s) for this application is \$622

- \$477 for 53 total claims over 20.
- \$145 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

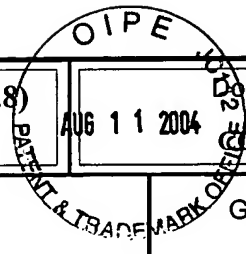
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Scott A. Mitchell, et al.

Docket No.

AUG 11 2004

CGI-0014

Serial No.

10/776,002

Filing Date

02/10/04

Examiner

NYA

Group Art Unit

1614

Invention: **CERTAIN 8-HETEROARYL-6-PHENYL-IMIDAZO[1,2-A]PYRAZINES**

AS MODULATORS OF KINASE ACTIVITY

I hereby certify that this Resp. to Not. to File MP(3 pgs), Not. to File MP(2 pgs), Declaration (3 pgs), Check(847)
(Identify type of correspondence) Cert. of Mailing, Postcard
Copy of Preliminary Amendment
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 9, 2004
(Date)

Laura J. Nolan

(Typed or Printed Name of Person Mailing Correspondence)

Laura J. Nolan

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
CGI-0014

In Re Application Of: Scott A. Mitchell, et al.

Serial No.
10/776,002

Filing Date
02/10/04

Examiner
NYA

Group Art Unit
1614

Invention: **CERTAIN 8-HETEROARYL-6-PHENYL-IMIDAZO[1,2-A]PYRAZINES
AS MODULATORS OF KINASE ACTIVITY**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
05/07/04
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

08/12/2004 MAHME1 00000078 10776002

04 FC:2251

55.00 0P

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
CGI-0014

In Re Application Of: **Scott A. Mitchell, et al.**

Serial No.
10/776,002

Filing Date
02/10/04

Examiner
NYA

Group Art Unit
1614

Invention: **CERTAIN 8-HETEROARYL-6-PHENYL-IMIDAZO[1,2-A]PYRAZINES
AS MODULATORS OF KINASE ACTIVITY**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$385.00

☐ Design application filing fee

☒ Total number of independent claims = 2

☒ Total number of claims = 58 \$342.00

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$792.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: July 7, 2004 until: August 7, 2004
Date *Date*

Total time extension fees \$55.00

Total fees due \$847.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
CGI-0014

In Re Application Of: **Scott A. Mitchell, et al.**

Serial No.
10/776,002

Filing Date
02/10/04

Examiner
NYA

Group Art Unit
1614

Invention: **CERTAIN 8-HETEROARYL-6-PHENYL-IMIDAZO[1,2-A]PYRAZINES
AS MODULATORS OF KINASE ACTIVITY**

TO THE COMMISSIONER FOR PATENTS:

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The fee of \$847.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-1130
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130


Signature

Dated: August 6, 2004

Roberta L. Pelletier
Reg. No. 46,372
Customer No. 23,413
(860) 286-2929

I certify that this document and fee is being deposited on August 6, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Laura J. Nolan

Typed or Printed Name of Person Mailing Correspondence

CC: